LEAPFROG HOSPITAL SAFETY GRADE

Scoring Methodology

FALL 2021 SAFETY GRADE



CONTENTS	
What is the Hospital Safety Grade?	3
Eligible Hospitals	3
Measures	4
Measure & Scoring Descriptions	8
Process/Structural Measures	8
Computerized Physician Order Entry (CPOE)	
Bar Code Medication Administration (BCMA)	
ICU Physician Staffing (IPS)	
NQF Safe Practices	9
Hand Hygiene	10
Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) Composites	11
Outcome Measures	11
Hospital-Acquired Conditions	11
Healthcare-Associated Infections	11
AHRQ Patient Safety Indicators (PSIs)	12
Using Secondary Data Sources	13
Imputation Method for CPOE, BCMA, and IPS	13
Step 1: Use a Hospital's Most Recent Score on the Measure (Applies to CPOE, BCMA and IPS)	13
Step 2: Use the Mean of the Scores Assigned to Other Similar Hospitals in the U.S. (Applies to CPOE and BCMA only)	13
CMS Data For HAIs	15
Scoring Methodology	17
Weighting Individual Measures	17
Evidence	17
Opportunity	17
Impact	17
Number of Component Measures	
Standard Measure Weights	19



Calculating Z-Scores	20
Calculating Z-Scores A Note About Extreme Values	21
Dealing With Missing Data	22
Calculating Weighted Measure Scores	22
Additional Scoring Information	24
Terms Used in Scoring and Public Reporting	24
Shared CMS Certification Numbers	
Jpdates to Data Used in the Leapfrog Hospital Safety Grade	25
Data From the Leapfrog Hospital Survey	25
How Hospitals Can Review Leapfrog Hospital Survey Results Prior to the Data Snapshot Date	25
Data From the Centers for Medicare & Medicaid Services (CMS)	26
How Hospitals Can Review CMS Data Prior to the Data Snapshot Date	
How to Participate in the Leapfrog Hospital Survey	
_eapfrog Help Desk	26



WHAT IS THE HOSPITAL SAFETY GRADE?

Leapfrog Hospital Safety Grades are assigned to nearly 3,000 general acute-care hospitals across the nation twice annually. The Safety Grade uses up to 22 national patient safety measures from the Centers for Medicare & Medicaid Services (CMS) and the Leapfrog Hospital Survey, and information from other supplemental data sources, to produce a single letter grade representing a hospital's overall performance in keeping patients safe from preventable harm and medical errors. The Safety Grade methodology has been peer reviewed and published in the <u>Journal of Patient Safety</u>.¹

With the Safety Grade, The Leapfrog Group aims to educate and encourage consumers to consider safety when selecting a hospital for themselves or their families. In addition, we believe the Safety Grade will foster strong market incentives for hospitals to make safety a priority. Safety Grades are publicly reported at <u>www.HospitalSafetyGrade.org</u>.

ELIGIBLE HOSPITALS

Due to the limited availability of public data, Leapfrog is not able to calculate a Safety Grade for every hospital in the U.S., including the following types of hospitals:

- Critical access hospitals (CAH)
- Long-term care and rehabilitation facilities
- Mental health facilities
- Federal hospitals (e.g., Veterans Affairs, Indian Health Services, etc.)
- Some specialty hospitals, such as surgery centers and cancer hospitals
- Free-standing pediatric hospitals
- Hospitals in U.S. territories
- Hospitals that are missing scores for more than six (6) process/structural measures, or more than five (5) outcome measures, or missing PSI 90

¹ The Leapfrog Hospital Safety Grade uses the below-described data and reflects expert opinion as to the relative importance of each category.



MEASURES

The Safety Grade utilizes up to 22 national patient safety measures from the Centers for Medicare & Medicaid Services (CMS) and the Leapfrog Hospital Survey, and information from other supplemental data sources.

The measure set is divided into two domains: (1) Process/Structural Measures and (2) Outcome Measures. Each domain represents 50% of the Safety Grade. The following table lists the 22 measures included in the Safety Grade, as well as the data source and reporting period for each measure. In some cases where a hospital's information is not available for a certain measure, Leapfrog uses a <u>secondary data source</u> (as indicated in the table). In cases where a hospital's information is not available from any data source, Leapfrog has outlined a methodology for dealing with the <u>missing data</u>.

PROCESS/STRUCTURAL MEASURE	ES (12)			
Measure Name	Primary Data Source	Reporting Period	Secondary Data Source	Reporting Period
Computerized Physician Order Entry (CPOE)	2021 Leapfrog Hospital Survey	2021	Imputation Model Applied*	N/A
Bar Code Medication Administration (BCMA)	2021 Leapfrog Hospital Survey	2021	Imputation Model Applied*	N/A
ICU Physician Staffing (IPS)	2021 Leapfrog Hospital Survey	2021	Imputation Model Applied*	N/A
Safe Practice 1: Culture of Leadership Structures and Systems	2021 Leapfrog Hospital Survey	2021	N/A	N/A
Safe Practice 2: Culture Measurement, Feedback & ntervention	2021 Leapfrog Hospital Survey	2021	N/A	N/A
Safe Practice 9: Nursing Workforce	2021 Leapfrog Hospital Survey	2021	N/A	N/A
Hand Hygiene	2021 Leapfrog Hospital Survey	2021	N/A	N/A
H-COMP-1: Nurse Communication	CMS	01/01/2019 – 12/31/2019	N/A	N/A
H-COMP-2: Doctor Communication	CMS	01/01/2019 - 12/31/2019	N/A	N/A



PROCESS/STRUCTURAL MEASURES (12)

Measure Name	Primary Data Source	Reporting Period	Secondary Data Source	Reporting Period
H-COMP-3: Staff Responsiveness	CMS	01/01/2019 – 12/31/2019	N/A	N/A
H-COMP-5: Communication about Medicines	CMS	01/01/2019 – 12/31/2019	N/A	N/A
H-COMP-6: Discharge Information	CMS	01/01/2019 – 12/31/2019	N/A	N/A

*See <u>Leapfrog's Imputation Method for CPOE, BCMA and IPS</u> for more information on Leapfrog's imputation methodology for hospital's missing measure scores for CPOE, BCMA, and/or IPS.

OUTCOME MEASURES (10)				
Measure Name	Primary Data Source	Reporting Period	Secondary Data Source	Reporting Period
Foreign Object Retained	CMS	07/01/2017 - 06/30/2019	N/A	N/A
Air Embolism	CMS	07/01/2017 – 06/30/2019	N/A	N/A
Falls and Trauma	CMS	07/01/2017 - 06/30/2019	N/A	N/A
CLABSI	2021 Leapfrog Hospital Survey	04/01/2019 – 12/31/2019 AND 07/01/2020 – 9/30/2020	CMS	04/01/2019 – 12/31/2019 AND 07/01/2020 – 9/30/2020
CAUTI	2021 Leapfrog Hospital Survey	04/01/2019 – 12/31/2019 AND 07/01/2020 – 9/30/2020	CMS	04/01/2019 – 12/31/2019 AND 07/01/2020 – 9/30/2020
SSI: Colon	2021 Leapfrog Hospital Survey	04/01/2019 – 12/31/2019 AND 07/01/2020 – 9/30/2020	CMS	04/01/2019 – 12/31/2019 AND 07/01/2020 – 9/30/2020



OUTCOME MEASURES (10) Primary Secondary **Reporting Period Reporting Period Measure Name** Data Source Data Source 04/01/2019 - 12/31/2019 04/01/2019 - 12/31/2019 MRSA 2021 Leapfrog Hospital Survey AND CMS AND 07/01/2020 - 9/30/2020 07/01/2020 - 9/30/2020 04/01/2019 - 12/31/2019 04/01/2019 - 12/31/2019 2021 Leapfrog Hospital Survey C. Diff. AND AND CMS 07/01/2020 - 9/30/2020 07/01/2020 - 9/30/2020 PSI 4: Death rate among N/A surgical inpatients with 07/01/2018 - 12/31/2019 N/A CMS serious treatable conditions CMS Medicare PSI 90: Patient safety and adverse events CMS 07/01/2018 - 12/31/2019 N/A N/A composite**



Component PSI	Primary Data Source	Reporting Period	Secondary Data Source	Reporting Period
PSI 3: Pressure ulcer rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 6: latrogenic pneumothorax rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 8: In-hospital fall with hip fracture rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 9: Perioperative hemorrhage and hematoma rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 10: Postoperative acute kidney injury rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 11: Postoperative respiratory failure rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 12: Perioperative pulmonary embolism or deep vein thrombosis rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 13: Postoperative sepsis rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 14: Postoperative wound dehiscence rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A
PSI 15: Unrecognized abdominopelvic accidental puncture/laceration rate	CMS	07/01/2018 – 12/31/2019	N/A	N/A

Note: CMS calculates PSI 90 using the ten (10) component PSIs listed above. While scores for each of the 10 component PSIs will NOT be used to calculate fall 2021 Hospital Safety Grades, they will be publicly reported on the Hospital Safety Grade <u>website</u>.



MEASURE & SCORING DESCRIPTIONS

PROCESS/STRUCTURAL MEASURES

For Process/Structural Measures, a higher score is always better because these are measures of compliance with best practices in patient care.

COMPUTERIZED PHYSICIAN ORDER ENTRY (CPOE)

The CPOE measure is collected by The Leapfrog Group on the Leapfrog Hospital Survey. It measures a hospital's progress toward implementing a CPOE system to reduce medication ordering errors. Through participation in the Leapfrog Hospital Survey, hospitals are placed in one of several performance categories: "Achieved the Standard," "Considerable Achievement," "Some Achievement," "Limited Achievement," "Declined to Respond," or "Unable to Calculate Score." For the purposes of calculating the Safety Grade, a numerical score is assigned to each performance category:

Leapfrog Performance Category	Measure Score
Achieved the Standard	100
Considerable Achievement	70
Some Achievement	40
Limited Achievement	15
Declined to Respond	See Using Secondary Data
Unable to Calculate Score	Not Available (See <u>Scoring Terms</u>)

For hospitals that did not submit a 2021 Leapfrog Hospital Survey by August 31, see <u>Using Secondary Data Sources</u> for more information on Leapfrog's imputation methodology.

BAR CODE MEDICATION ADMINISTRATION (BCMA)

The BCMA measure is collected by The Leapfrog Group on the Leapfrog Hospital Survey. It measures a hospital's progress toward 1) implementation of BCMA throughout the hospital, 2) compliance with patient and medication scans during administration, 3) use of decision support, and 4) structures to monitor and reduce workarounds. Through participation in the Leapfrog Hospital Survey, hospitals are placed in one of several performance categories: "Achieved the Standard," "Considerable Achievement," "Some Achievement," "Limited Achievement," or "Declined to Respond. For the purposes of calculating the Safety Grade, a numerical score is assigned to each performance category:



Leapfrog Performance Category	Measure Score
Achieved the Standard	100
Considerable Achievement	75
Some Achievement	50
Limited Achievement	25
Declined to Respond	See Using Secondary Data

For hospitals that did not submit a 2021 Leapfrog Hospital Survey by August 31, see <u>Using Secondary Data Sources</u> for more information on Leapfrog's imputation methodology.

ICU PHYSICIAN STAFFING (IPS)

The IPS measure is collected by The Leapfrog Group on the Leapfrog Hospital Survey. It measures a hospital's use of intensivists in ICUs. Through participation in the Leapfrog Hospital Survey, hospitals are placed in one of several performance categories: "Achieved the Standard," "Considerable Achievement," "Some Achievement," "Limited Achievement," or "Declined to Respond." For the purposes of calculating the Safety Grade, a numerical score is assigned to each performance category:

Leapfrog Performance Category	Measure Score
Achieved the Standard	100
Considerable Achievement	50
Some Achievement	15
Limited Achievement	5
Declined to Respond	See <u>Using Secondary Data</u>
Does Not Apply	Not Available (See <u>Scoring Terms</u>)

For hospitals that did not submit a 2021 Leapfrog Hospital Survey by August 31, see <u>Using Secondary Data Sources</u> for detailed information on Leapfrog's imputation methodology.

NQF SAFE PRACTICES

Three (3) NQF Safe Practice measures are collected by The Leapfrog Group on the Leapfrog Hospital Survey. They measure a hospital's progress toward implementing NQF-endorsed processes and protocols to reduce and prevent adverse events. Through participation in the Leapfrog Hospital Survey, hospitals



are able to earn up to 100 – 120 points for each Safe Practice. For the purposes of calculating the Safety Grade, individual scores for each Safe Practice are used:

NQF Safe Practice	Possible Measure Score
Safe Practice 1: Culture of Safety Leadership Structures and	0 - 120
Systems	
Safe Practice 2: Culture Measurement, Feedback and	0 - 120
Intervention	
Safe Practice 9: Nursing Workforce	0 - 100

There is no secondary data source for the NQF Safe Practices. Therefore, hospitals that did not submit a 2021 Leapfrog Hospital Survey by August 31 will not have these measures included in their Safety Grade and the measure will be reported as "Declined to Report." See <u>Dealing with Missing Data</u> for more information.

HAND HYGIENE

The Hand Hygiene measure is collected by The Leapfrog Group on the Leapfrog Hospital Survey. It measures processes and protocols that hospitals have in place regarding monitoring hand hygiene practices, fostering a culture of good hand hygiene, offering training and education, and providing equipment.

Publicly reported score from the Leapfrog Hospital Survey	Possible assigned for the purposes of calculating the Hospital Safety Grade
Achieved the Standard	100
Considerable Achievement	100
Some Achievement	Not Available (See <u>Scoring Terms</u>)
Limited Achievement	Not Available (See <u>Scoring Terms</u>)

There is no secondary data source for the Hand Hygiene measure. Therefore, hospitals that did not submit a 2021 Leapfrog Hospital Survey by August 31 will not have this measure included in their Safety Grade and the measure will be reported as "Declined to Report." See <u>Dealing with Missing Data</u> for more information.



HOSPITAL CONSUMER ASSESSMENT OF HEALTHCARE PROVIDERS AND SYSTEMS (HCAHPS) COMPOSITES

The Hospital HCAHPS survey responses are collected by CMS via the Inpatient Quality Reporting Program. Linear mean scores <u>calculated by CMS</u> incorporate the full range of survey response categories into a single metric for each HCAHPS composite. Five of the six composites are used in the Safety Grade. For the purposes of calculating the Safety Grade, a hospital's linear mean score for each of the five composites is used:

HCAHP Composite	Possible Measure Score
H-COMP-1: Nurse Communication	0 - 100
H-COMP-2: Doctor Communication	0 - 100
H-COMP-3: Staff Responsiveness	0 - 100
H-COMP-5: Communication about Medicines	0 - 100
H-COMP-6: Discharge Information	0 - 100

OUTCOME MEASURES

For Outcome Measures, a lower score is always better because these are measures of harm experienced by patients (e.g., central line-associated blood stream infections).

HOSPITAL-ACQUIRED CONDITIONS

Three hospital-acquired condition measures are calculated by CMS through the <u>DRA HAC Reporting Program</u>: Foreign Object Retained after Surgery, Air Embolism, and Falls and Trauma. Hospital-acquired condition rates are calculated by CMS based on Medicare Fee-for-Service claims and publicly reported as a rate per 1,000 inpatient discharges. For the purposes of calculating the Safety Grade, the rate is used.

HEALTHCARE-ASSOCIATED INFECTIONS

Five healthcare-associated infection measures are collected by The Leapfrog Group on the Leapfrog Hospital Survey: Central line-associated bloodstream infections (CLABSI) in ICUs and select wards, Catheter-associated urinary tract infections (CAUTI) in ICUs and select wards, Surgical site infections from colon surgery (SSI: Colon), Facility-wide inpatient MRSA Blood Laboratory-identified Events, and Facility-wide inpatient C.diff. Laboratory-identified Events. Through participation in the Leapfrog Hospital Survey, hospitals were required to 1) join Leapfrog's NHSN group, 2) provide an accurate NHSN ID in the Profile section of the Online Survey Tool, and 3) submit Section 7 Managing Serious Errors by the August 31. For the purposes of calculating the Safety Grade, the <u>standardized infection ratio (SIR)</u> is used:

LEAPFROG HOSPITAL SAFETY GRADE

As Reported by Leapfrog	Measure Score	Notes
Standardized Infection Ratio (SIR)	SIR	Measure is included in calculating the Safety Grade
Does Not Apply	Not Available (See <u>Scoring Terms</u>)	Measure is not included in calculating the Safety
		Grade. No use of Secondary Data.
Unable to Calculate Score	Not Available (See <u>Scoring Terms</u>)	Measure is not included in calculating the Safety
		Grade. No use of Secondary Data.
Declined to Respond	See Using Secondary Data	Secondary Data source <u>is used</u> .

For hospitals that did not submit a 2021 Leapfrog Hospital Survey by August 31, see <u>Using Secondary Data Sources</u> for more information on Leapfrog's use of CMS data.

AHRQ PATIENT SAFETY INDICATORS (PSIS)

Two AHRQ Patient Safety Indicators are calculated by CMS through the Inpatient Quality Reporting Program and the <u>HAC Reduction Program</u>: PSI 4 Death Rate among Surgical Inpatients with Serious Treatable Conditions and PSI 90 Patient Safety and Adverse Events Composite. PSI rates are calculated by CMS based on Medicare Fee-for-Service claims and publicly reported as a rate per 1,000 inpatient discharges. For the purposes of calculating the Safety Grade, the smoothed rate is used for both measures.

Note: CMS calculates PSI 90 using the ten (10) component PSIs that are listed in the PSI 90 Components table above. While scores for each of the 10 component PSIs will NOT be used to calculate fall 2021 Hospital Safety Grades, they will be publicly reported on the Hospital Safety Grade <u>website</u>. Additional information about the PSI 90 measure and how it is calculated by CMS can be found <u>here</u>.



USING SECONDARY DATA SOURCES

Twelve (12) of the 22 measures included in the Safety Grade are derived from the Leapfrog Hospital Survey. The Leapfrog Hospital Survey is voluntary, and as such, hospitals may choose not to submit a Survey. To address this gap in available data, the Safety Grade methodology utilizes secondary data when available. For information on how to complete a free Leapfrog Hospital Survey, visit <u>www.leapfroggroup.org/survey</u>.

IMPUTATION METHOD FOR CPOE, BCMA, AND IPS

Leapfrog has worked closely with statisticians at Mathematica, Leapfrog's National <u>Expert Panel</u>, and our scientific partners at Johns Hopkins Medicine to develop a new two-step imputation approach for determining scores for CPOE, BCMA, and IPS if they are missing from our <u>publicly reported</u> Survey Results.

STEP 1: USE A HOSPITAL'S MOST RECENT SCORE ON THE MEASURE (APPLIES TO CPOE, BCMA AND IPS)

If the hospital had a score assigned by Leapfrog in the previous four rounds of grades (i.e., spring 2021, fall 2020, spring 2020, fall 2019), the hospital is assigned the most recent score on that measure in the current Hospital Safety Grade (i.e., fall 2021).

Example of Step 1:

A hospital did not submit a Leapfrog Hospital Survey in 2019, 2020, or 2021. Therefore, in the fall 2020 Hospital Safety Grade, the hospital's scores for CPOE, BCMA, and IPS were assigned by Leapfrog based on other supplemental data sources. In the fall 2020 Hospital Safety Grade, the hospital's CPOE score was 45, BCMA score was 45, and IPS score was 85. For the fall 2021 Hospital Safety Grade, the score of 45 is used for CPOE, 45 is used for BCMA, and 85 is used for IPS.

STEP 2: USE THE MEAN OF THE SCORES ASSIGNED TO OTHER SIMILAR HOSPITALS IN THE U.S. (APPLIES TO CPOE AND BCMA ONLY)

The hospital is assigned to a cohort of other similar hospitals using three or four hospital characteristics obtained from the most recent CMS Impact File and the 2021 Leapfrog Hospital Survey: (1) urban/rural status, (2) safety net status, (3) number of beds, and (4) teaching status. Teaching status is only used for urban cohorts. The CMS Impact File is the data source for urban/rural status, safety net status, and number of beds. The <u>2021 Leapfrog Hospital Survey Results</u> are the primary data source for teaching status and the CMS impact file is the secondary data source.

The hospital is then assigned the mean score of that cohort. The mean score is calculated based on hospitals that have a score in the current round (i.e., fall 2021) from either Leapfrog's <u>publicly reported</u> Survey Results or Step 1 of the imputation model.



Determining a hospital's cohort and cohort means

- 1. Download the latest IMPACT Zip file here.
 - a. Open the Microsoft Excel Worksheet in that Zip file named "FY2022 IPPS Final Rule Impact File".
 - b. Click to tab "FY 2022 FR".
 - c. Filter for the hospital's CMS Certification Number (CCN) under the "Provider Number" column.
- 2. Search for the hospital's 2021 Leapfrog Survey Results here.
 - a. If the hospital submitted a 2021 Leapfrog Hospital Survey, then click "More Information" at the bottom to access their Teaching Hospital Status
- 3. Determine the hospital's cohort using the below table:

Characteristic	2021 Leapfrog Hospital Survey Results	Column in CMS Impact File	Criteria
Urban/rural	N/A	URGEO (Column I)	CMS Impact File:
status			Urban: LURBAN or OURBAN
			Rural: RURAL
Safety net	N/A	DSHPCT (Column Y)	CMS Impact File:
status			Non-safety net: DSHPCT is less than 0.4029*
			Safety net: DSHPCT is greater than or equal to 0.4029*
			*0.4029 is the 80 th percentile of the IMPACT file.
Number of	N/A	Beds (Column U)	CMS Impact File
Beds			Fewer than 100 beds
			100 or more beds
Teaching	Teaching Hospital Status	Resident to Bed Ratio (Column S)	2021 Leapfrog Survey Results (primary source):
status			Non-Teaching
			Teaching
			CMS Impact File (secondary source):
			Non-teaching: Resident to bed Ratio equals 0
			Teaching: Resident to bed ratio is greater than 0

4. Find the cohort's means for CPOE and BCMA in the table below:



Cohort #	Urban/Rural Status	Safety Net Status	Teaching Status	Number of Beds	Step 2 CPOE Score (Mean of Cohort)	Step 2 BCMA Score (Mean of Cohort)
Cohort 1	Rural	Non-Safety Net	Not Applicable to Rural	Fewer than 100 beds	72	73
Cohort 2	Rural	Non-Safety Net	Not Applicable to Rural	100 or more beds	81	80
Cohort 3	Rural	Safety Net	Not Applicable to Rural	Fewer than 100 beds	73	70
Cohort 4	Rural	Safety Net	Not Applicable to Rural	100 or more beds	74	74
Cohort 5	Urban	Non-Safety Net	Non-Teaching	Fewer than 100 beds	83	82
Cohort 6	Urban	Non-Safety Net	Non-Teaching	100 or more beds	89	86
Cohort 7	Urban	Non-Safety Net	Teaching	Fewer than 100 beds	89	87
Cohort 8	Urban	Non-Safety Net	Teaching	100 or more beds	89	86
Cohort 9	Urban	Safety Net	Non-Teaching	Fewer than 100 beds	75	65
Cohort 10	Urban	Safety Net	Non-Teaching	100 or more beds	87	84
Cohort 11	Urban	Safety Net	Teaching	Fewer than 100 beds	86	90
Cohort 12	Urban	Safety Net	Teaching	100 or more beds	91	86

Example of Step 2:

The hospital is missing scores for CPOE, BCMA, and IPS because they have never submitted a Leapfrog Hospital Survey or had data available via other supplemental data sources. In fact, due to missing data, they have never received a Hospital Safety Grade. Therefore, in the fall 2021 Hospital Safety Grade, the hospital will be assigned scores based on the mean CPOE and BCMA scores of other similar hospitals in the U.S. based on the Table above and may receive a Hospital Safety Grade for the first time. The hospital's IMPACT File data shows it belongs to Cohort 9 (urban, safety net, non-teaching, and has fewer than 100 beds). As such, the hospital's CPOE score will be 75 and its BCMA score will be 65 which are found in the table above.

CMS DATA FOR HAIS

CMS is **the secondary data** source for healthcare-associated infections. For the purposes of calculating the Safety Grade, the standardized infection ratio (SIR) published by CMS is used:

Hospitals That Did Not Submit Section 7: Managing Serious Errors of the 2021 Hospital Survey by August 31, 2021

As Reported by CMS	Measure Score	Notes
Not Available (no locations or low	Not Available (See <u>Scoring Terms</u>)	Measure is not included in calculating the Leapfrog
volume)		Hospital Safety Grade.



As Reported by CMS	Measure Score	Notes
Standard Infection Ratio (SIR)	SIR	Measure is included in calculating the Leapfrog
		Hospital Safety Grade.

If a hospital does not have available data from the Leapfrog Hospital Survey or CMS (e.g., no locations or low volume), the hospital is reported as Not Available "Not Available." See <u>Dealing with Missing Data</u> for more information.



SCORING METHODOLOGY

WEIGHTING INDIVIDUAL MEASURES

Each measure included in the Leapfrog Hospital Safety Grade is assigned a standard weight. The methodology to assign standard weights includes four criteria: (1) Impact, (2) Evidence, (3) Opportunity, and (4) Number of Component Measures. These four criteria are then combined using the equation below, which results in a standard weight for each measure that represents the measure's relative importance within the Hospital Safety Grade.

[Evidence + (Impact x Opportunity x Number of Component Measures)]

EVIDENCE

The Evidence Score for each individual measure is assigned a value of one (1) or two (2) using the following criteria:

- 1 = Supported by either suggestive clinical or epidemiological studies or theoretical rationale
- 2 = Supported by experimental, clinical, or epidemiological studies and strong theoretical rationale

OPPORTUNITY

The Opportunity Score for each individual measure is based on the Coefficient of Variation (Standard Deviation/Mean) of that measure, using the following formula: **[1 + (Standard Deviation/Mean)]**. The Opportunity Score is on a continuous scale that is capped at three (3). Any measure with an Opportunity Score above three (3) is assigned a three (3).

IMPACT

The Impact Score for each individual measure is comprised of two (2) parts, each of which is assigned a value from one (1) to three (3):

- 1. Number of patients affected
- 2. Severity of harm

The number of patients affected score is determined by the following:

LEAPFROG HOSPITAL SAFETY GRADE

- 0 = Extremely rare event (e.g., Air Embolism)
- 1 = Rare event (e.g., Foreign Object Retained After Surgery)
- 2 = Some patients in hospital affected (e.g., ICU Physician Staffing)
- 3 = All patients in hospital affected (e.g., Hand Hygiene)

The *severity of harm* score is determined by the following:

- 1 = No direct evidence of harm or harm reduction (e.g., Patient Experience)
- 2 = Clear documentation of harm or harm reduction; adverse events (e.g., Foreign Object Retained After Surgery)
- 3 = Significant mortality reduction (more than 1,000 deaths or a 10% reduction in hospital wide mortality) (e.g., ICU Physician Staffing)

The values from each part are then added together to arrive at the overall Impact Score using the following criteria:

- 1 = Score of 2 (Low Impact) (e.g., Air Embolism)
- 2 = Score of 3-4 (Medium Impact) (e.g., Patient Experience)
- 3 = Score of 5-6 (High Impact) (e.g., ICU Physician Staffing)

NUMBER OF COMPONENT MEASURES

The number of component measures refers to how many measures are included within the measure. For PSI 90, the number of component measures is 10 (PSI 3, PSI 6, PSI 8, PSI 9, PSI 10, PSI 11, PSI 12, PSI 13, PSI 14, and PSI 15). For all other measures included in the Hospital Safety Grade, the number of component measures within the measure is one (1).

LEAPFROG HOSPITAL SAFETY GRADE

STANDARD MEASURE WEIGHTS

Measure	Evidence Score	Opportunity Score	Impact Score	Number of Component Measures	Standard Measure Weight
		Process/Structural N	leasure Domain (50%	6)	
CPOE	2	1.26	3	1	5.9%
BCMA	2	1.26	3	1	5.8%
IPS	2	1.69	3	1	7.1%
SP 1	1	1.06	2	1	3.2%
SP 2	1	1.11	2	1	3.3%
SP 9	1	1.07	3	1	4.3%
Hand Hygiene	2	1.44	2	1	4.9%
H-COMP-1	1	1.02	2	1	3.1%
H-COMP-2	1	1.02	2	1	3.1%
H-COMP-3	1	1.04	2	1	3.1%
H-COMP-5	1	1.05	2	1	3.1%
H-COMP-6	1	1.04	2	1	3.1%
	•	Outcome Meas	ure Domain (50%)		
Foreign Object Retained	1	3.00	2	1	4.3%
Air Embolism	1	3.00	1	1	2.5%
Falls and Trauma	2	1.91	3	1	4.7%
CLABSI	2	1.83	3	1	4.6%
CAUTI	2	1.76	3	1	4.5%
SSI: Colon	2	1.81	2	1	3.4%
MRSA	2	1.78	3	1	4.5%
C. Diff.	2	1.68	3	1	4.3%
PSI 4	1	1.11	2	1	2.0%
PSI 90	1	1.19	2	10	15.2%



CALCULATING Z-SCORES

Z-Scores are used to standardize data from measures with different performance scales and allow for comparisons (e.g., a linear mean score of 90 on H-COMP-1: Nurse Communication and a CLABSI SIR of 0.870). In addition, Z-Scores can indicate to a hospital whether their measure score is above, below, or equal to the average hospital.

In the Scoring Methodology, a Z-Score is calculated for each available measure score. A Z-Score is calculated using a hospital's actual measure score, the national mean for that measure, and the standard deviation for that measure. The Z-Score for each measure is calculated using the following formulas:

- For Process/Structural Measures: [Hospital Score Mean) / Standard Deviation]
- For Outcome Measures: [(Mean Hospital Score) / Standard Deviation]

The following table includes the national mean and standard deviation for each measure used to calculate a hospital's Z-Score. For display, means and standard deviations are displayed rounded to two or three decimal places. For scoring, these values are not rounded.

Process and Structural Measures	Mean	Standard Deviation
СРОЕ	85.77	22.51
BMCA	83.25	21.56
IPS	62.82	43.29
SP 1	116.85	7.39
SP 2	116.49	12.99
SP 9	98.16	7.07
Hand Hygiene*	74.36	32.79
H-COMP-1	91.10	2.23
H-COMP-2	91.00	2.11
H-COMP-3	84.38	3.60
H-COMP-5	77.66	3.59
H-COMP-6	86.51	3.32

Outcome Measures	Mean	Standard Deviation
Foreign Object Retained	0.02	0.05
Air Embolism	0.0004	0.004
Falls and Trauma	0.42	0.38
CLABSI	0.81	0.67
CAUTI	0.75	0.57
SSI: Colon	0.80	0.65
MRSA	0.84	0.65
C. Diff.	0.54	0.36
PSI 4	159.67	18.29
PSI 90	1.00	0.19

*For the purposes of calculating the mean and standard deviation for the Hand Hygiene measure, all hospitals that submitted a 2021 Survey by August 31 were assigned one of three scores: 100 (Achieved the Standard and Considerable Achievement), 40 (Some Achievement), and 15 (Limited



Achievement). However, only hospitals with scores of 100 (performance categories of "Achieved the Standard" and "Considerable Achievement") will have Hand Hygiene included in their Safety Grade and numerical score calculation.

A NOTE ABOUT EXTREME VALUES

For hospitals that have an "extreme" value for a particular measure (i.e., a value that <u>exceeds</u> the 99th percentile) Leapfrog "trims" the reported value to the 99th percentile. For example, a rate of 0.50 per 1,000 patient discharges for Foreign Object Retained after Surgery is "trimmed" to 0.359 (e.g., the 99th percentile). Only "trimmed" rates are displayed on the Leapfrog Hospital Safety Grade <u>website</u>. The table below includes the "trim" values for the fall 2021 Leapfrog Hospital Safety Grade. The PSI 90 measure score represents an observed to expected ratio that has already been risk adjusted at both the component PSI level and at the composite level; therefore, the measure is not "trimmed" by Leapfrog.

Measure	99 th Percentile
Foreign Object Retained	0.359
Air Embolism	0.036
Falls and Trauma	1.778
CLABSI	3.420
CAUTI	2.644
SSI: Colon	2.887
MRSA	3.055
C. Diff.	1.949
PSI 4	206.08

Note: Percentiles are rounded to reflect the precision of the raw data.



DEALING WITH MISSING DATA

Due to a variety of reasons, a hospital may not have a measure score for all 22 measures. If a hospital is missing a measure score for any measure (except PSI 90; hospitals missing PSI 90 are not graded), the standard weight of that measure is redistributed to the other measures in the same measure domain. The new weight for each measure within the domain is calculated by re-apportioning the standard weight assigned to the measure with the missing score to other measures within the same domain.

For example, if a hospital is missing a measure score for ICU Physician Staffing because the hospital does not operate an adult or pediatric medical and/or surgical ICU, the standard weight of 7.1% will be re-apportioned to the remaining 11 measures within the process/structural measure domain.

To calculate the new weight of each of the remaining 11 measures in the process/structural measure domain, hospitals can use the formula below or use the Leapfrog Hospital Safety Grade Calculator[®], which can be found on the <u>Safety Grade Review Website</u>. Note that each domain contributes to 50% of the overall letter grade.

[Standard measure weight / (sum of standard weights for the remaining 11 measures in the process/structural measure domain)]*50% = updated measure weight

For more information about how the measure weight redistribution is calculated and affects the overall score, please see the Leapfrog Hospital Safety Grade Calculator, which can be found on the last page of the <u>Safety Grade Review Website</u>.

CALCULATING WEIGHTED MEASURE SCORES

To calculate a hospital's numerical safety score, multiply the Z-Score of each process/structural measure by the standard weight assigned to that measure to get the weighted process/structural measure score. If a hospital is missing any process/structural measure scores, see <u>Dealing with Missing Data</u> to determine the updated measure weight. Then, sum all weighted process/structural measure scores. This is the hospital's overall weighted process/structural measures score.

Multiply the Z-Score of each outcome measure by the standard weight assigned to that measure to get the weighted outcome measure score. If a hospital is missing any outcome measure scores, see <u>Dealing with Missing Data</u> to determine the updated measure weight. Then, sum all weighted outcome measure scores. This is the hospital's overall weighted outcome measures score.

To calculate the overall Safety Grade for a hospital, add the overall weighted process/structural measure score and the overall weighted outcome measures score. Add 3.0 to your score; this is done to normalize scores to a positive distribution. This final numerical score (typically between 1.0 and 4.0) is then



assigned to a letter grade. To assist hospitals in calculating their numerical score, a calculator is available on the <u>Safety Grade Review Website</u>. Letter grade cutpoints are published during the <u>Letter Grade Embargo Period</u>.



ADDITIONAL SCORING INFORMATION

TERMS USED IN SCORING AND PUBLIC REPORTING

"Not Available" means that the hospital does not have a score for this measure. This could be because the measure is related to a service the hospital does not provide or because the hospital had too few patients or cases to report data for a condition or procedure. A "Not Available" result does not mean that the hospital withheld information from the public.

In the case of healthcare-associated infections, a SIR is reported as "Not Available" if one of the following applies:

- The number of predicted infections is less than 1.
- The number of observed infections present on admission (community-onset prevalence) was above a pre-determined cut-point.

For more information about NHSN's SIR models, please visit <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/nhsn-sir-guide.pdf</u>.

"Declined to Report" means that a hospital did not voluntarily provide information to the Leapfrog Hospital Survey. Measures scored as "Declined to Report" will not be used in calculating the overall score (see <u>Dealing with Missing Data</u>).

SHARED CMS CERTIFICATION NUMBERS

All hospitals that share a CMS Certification Number (CCN) will be assigned the same source data as reported by CMS. Affected measures include the HCAHPS, Hospital-Acquired Conditions, Healthcare-Associated Infections (if secondary data source is used), and Patient Safety Indicators.



UPDATES TO DATA USED IN THE LEAPFROG HOSPITAL SAFETY GRADE

The Leapfrog Hospital Safety Grade relies on publicly available data that hospitals have had the opportunity to review for accuracy. Therefore, Leapfrog does not allow hospitals to make updates to their data following the Data Snapshot Date. In January of each year, Leapfrog publishes the Data Snapshot Dates for each of the two Leapfrog Hospital Safety Grade public releases at https://www.hospitalsafetygrade.org/for-hospitals/key-dates-and-information. Leapfrog publishes these dates to give hospitals and other stakeholders advance notice so they can be prepared to submit a Leapfrog Hospital Survey and monitor their performance on CMS measures used in the Safety Grade.

In addition, Leapfrog holds a Courtesy Review Period to give hospitals an additional opportunity to review the data that will be used to calculate their hospital's Safety Grade. The Review Period is an opportunity for hospitals to review the data for accuracy (i.e., identify recording errors, hospital name and address changes, etc.) on a secure website and review changes to the scoring algorithm.

DATA FROM THE LEAPFROG HOSPITAL SURVEY

During the Safety Grade Review Period (September 20 – October 10), Leapfrog will only make corrections to a hospital's data from the 2021 Leapfrog Hospital Survey if a recording error is identified (i.e., we have recorded a different measure score than what is posted on our Survey Results website) or a scoring error is identified (i.e., Leapfrog has calculated an incorrect measure score based on the submitted responses and Leapfrog's published scoring algorithms). Updates to Leapfrog Hospital Survey data that are submitted after the Data Snapshot Date will **not** be included in the current Leapfrog Hospital Safety Grade. Hospitals submitting a Leapfrog Hospital Survey are urged to take advantage of the opportunity to review their Survey Results for accuracy and completeness prior to each of the two published Data Snapshot Dates.

HOW HOSPITALS CAN REVIEW LEAPFROG HOSPITAL SURVEY RESULTS PRIOR TO THE DATA SNAPSHOT DATE

The Leapfrog Hospital Survey is open from April 1 to November 30 of each year. Following the Submission Deadline (July 31), Survey Results are published monthly on a secure 'Hospital Details' page and a public website (ratings.leapfroggroup.org). Hospitals are urged to review their Survey Results immediately. Hospitals that identify any reporting errors are instructed to log back into the Survey to submit a correction. Hospitals can correct and re-submit a previously submitted Survey until the Survey closes for the year. Note that corrections submitted after the Data Snapshot Date are not included in the current Leapfrog Hospital Safety Grade. Leapfrog has several automated processes in place to prevent hospitals from making data entry errors in the Online Survey Tool and to enhance the overall accuracy of the Survey Results. Learn more at http://www.leapfroggroup.org/survey-materials/data-accuracy.



DATA FROM THE CENTERS FOR MEDICARE & MEDICAID SERVICES (CMS)

During the Safety Grade Review Period (September 20 – October 10), Leapfrog will only make corrections to a hospital's data from CMS if the correction is issued by CMS and posted on either the <u>Data.Medicare.Gov</u> website or the <u>Data.CMS.Gov</u> website. If a hospital has identified an error with a measure score published by CMS, and CMS cannot post a correction within the review period, the measure score will not be used in calculating the hospital's Safety Grade, provided that the hospital can document that CMS has agreed to publicly issue a correction or remove the measure score from public reporting. Hospitals participating with CMS are urged to take advantage of the opportunity to participate in the CMS 30-day review periods.

HOW HOSPITALS CAN REVIEW CMS DATA PRIOR TO THE DATA SNAPSHOT DATE

CMS administers several hospital-based reporting and payment programs including the Inpatient Quality Reporting Program, HAC Reduction Program, and Value-based Purchasing Program. Several measures collected and calculated by CMS via its various hospital-based programs are used in the Leapfrog Hospital Safety Grade. CMS provides hospitals with a 30-day preview period before publishing measure scores on the <u>Data.Medicare.Gov</u> website and the <u>Data.CMS.Gov</u> website. More information is available at <u>https://qualitynet.org</u>.

HOW TO PARTICIPATE IN THE LEAPFROG HOSPITAL SURVEY

If a hospital did **not** complete a 2021 Leapfrog Hospital Survey by August 31, Survey Results were not used to calculate the fall 2021 Leapfrog Hospital Safety Grade. Hospitals that would like Survey Results included in the spring 2022 Hospital Safety Grade must submit a 2021 Leapfrog Hospital Survey by November 30, 2021. For more information about the Leapfrog Hospital Survey, visit <u>https://www.leapfroggroup.org/survey</u>.

LEAPFROG HELP DESK

If you have any questions regarding the scoring methodology, please contact the Help Desk at https://leapfroghelpdesk.zendesk.com.